

MONIQUE STOCKTON

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OBJECTIVE:

PROFESSIONAL EXPERIENCE

MAJOR INVESTMENT GROUP TWO, New York, NY (4/05-Present)

Consulting Group Analyst

- Evaluated quantitative and qualitative data for high net worth and institutional client portfolios.
- Built customized asset allocation analyses, and rebalanced client portfolios based on firm strategic and tactical models, as well as current market/economic trends. Prepared final recommendations.
- Initiated investment manager searches and evaluated performance data relative to a benchmark, sub-style universe, market volatility, and manager discipline across asset classes, including alternative investments.
- Wrote market and performance summaries with an emphasis on the salient topics in investing today – international and emerging markets investing, as well as the alternative space/managed futures and hedge funds.
- Prepared cash flow analyses for client accounts, and reconciled time-weighted vs. internal rate of return data.
- Prepared Comprehensive Financial Plans, including Net Worth Statement Analysis, Retirement Planning Analysis, and Risk/Return Analysis.

GOVERNOR'S OFFICE OF MANAGEMENT AND BUDGET, Albany, NY (9/03-9/04)

Senior Debt Management Analyst

- Prepared preliminary and final official statements for General Obligation and Revenue bond deals. Analyzed and incorporated state financial data, tax structure changes, and economic data.
- Wrote legislative language for bills and subsequent amendments, and contributed to policy development for presentation to the General Assembly.
- Participated in price negotiations.
- Reviewed Requests for Proposal, and qualified candidates for Lead Underwriter, Co-Manager, Bond Counsel, and Trustee.
- Prepared bond sale fee matrix and compiled cost of issuance data.
- Monitored monthly Trustee statements to ensure debt service transfers were deposited correctly, and investments met the requirements of the bond indenture as defined by statute.
- Evaluated the allocation of bond proceeds and monitored capital spending of bond funds.

MAJOR INVESTMENT GROUP ONE, New York, NY (10/99-9/03)

Investment Management Analyst (7/00-9/03)

- Evaluated quantitative and qualitative data for institutional client portfolios.
- Computed internal and time-weighted rates of return, and reconciled returns with investment manager data.
- Conducted investment manager searches, and presented recommendations.
- Rebalanced asset allocations.
- Developed Investment Policy Statements.
- Prepared Asset/Liability Studies
- Managed complex fixed income portfolios for large institutional client.

Financial Consultant Associate (10/99-6/00)

- Developed and implemented financial and investment plans.
- Managed client relationships - evaluated financial issues, made appropriate recommendations, and identified and managed risk.

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NATIONAL STATIONERS, Newark, NJ (8/96-9/99)

Senior Revenue Analyst (6/98-9/99)

- Researched and synthesized profitability data for strategic planning process and vendor negotiations; presented results to upper management.
- Developed vendor profiling analyses.

Revenue Analyst (8/96-5/98)

- Developed and implemented a financial tracking and reporting system in a Microsoft Access environment.
- Managed revenue department operations - streamlined internal processes; resolved vendor issues.
- Supervised staff of two.

GLOBAL HEALTHCARE CORPORATION, Edison, NJ (2/89-7/96)

Consulting Analyst, Sales & Marketing (2/96-7/96)

- Researched and synthesized sales and marketing data with a focus on hospital consortiums; presented results and recommendations.

Sales & Marketing Analyst/Administrator (2/89-1/96)

- Organized and directed market research projects to determine sales trends and customer demand.
- Researched and analyzed pricing strategies, competitive data, and market share.
- Developed reporting models which set baseline criteria and tracking mechanisms for specified products and programs. Evaluated data and presented results to senior management.

EDUCATION

WESLEYAN UNIVERSITY, Middletown, CT

B.S. in Political Science, August 1996

Overall GPA: 3.3/4.0

ADDITIONAL SKILLS & INFORMATION

Securities Licenses: Series 7, 63, and 65 Licensed

Web-Based Performance Analytics: Polaris, Zephyr, Vestek, Orion, Bloomberg, and Morningstar

Microsoft Office: Word, Excel, Access, PowerPoint, & Outlook

Major Investment Group Two proprietary research and investment analysis tools.

OTHER INTERESTS

Non-Profit Organizations: Two tours of duty (October 2008 and October 2009) in Honduras, Central America participating in a humanitarian mission.