

# MONIQUE STOCKTON

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## FINANCIAL & POLICY ANALYST

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Accomplished investment analyst with 20 years' experience in the financial markets consistently ensuring the long-term viability of multi-million dollar portfolios. Trusted, influential advisor whose opinion is highly sought after by top-ranking executives and officials. Background in political science and geopolitics with a passion for emerging markets and humanitarian concerns in the developing world.

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## PROFESSIONAL EXPERIENCE

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### MAJOR INVESTMENT GROUP TWO, New York, NY

#### *Consulting Group Analyst (4/05-Present)*

- Evaluate quantitative and qualitative data for high net worth and institutional client portfolios with asset valuations in the tens of millions. Client portfolios include those of senior corporate executives and general counsels for major multi-national firms, leading physicians, and nonprofit organizations.
- Build customized asset allocation analyses and rebalanced client portfolios based on firm's strategic and tactical models, as well as current market/economic trends. Prepare final recommendations.
- Initiate investment manager searches and evaluate performance data relative to a benchmark, sub-style universe, market volatility, and manager discipline across asset classes, including alternative investments.
- Write market and performance summaries with emphasis on salient topics in investing today: international and emerging markets investing, as well as the alternative space/managed futures and hedge funds.
- Prepare cash flow analyses for client accounts, and reconcile time-weighted vs. internal rate of return data.
- Prepare Comprehensive Financial Plans, including Net Worth Statement Analysis, Retirement Planning Analysis, and Risk/Return Analysis.

### GOVERNOR'S OFFICE OF MANAGEMENT AND BUDGET, Albany, NY

#### *Senior Debt Management Analyst (9/03-9/04)*

- Prepared preliminary and final official statements for General Obligation and Revenue bond deals ranging in size from \$300,000,000 to \$1,000,000,000. Analyzed and incorporated state financial data, tax structure changes, and economic data.
- Wrote legislative language for bills and subsequent amendments, and contributed to policy development in areas of bond issuance and debt service structure for presentation to the General Assembly.
- Evaluated the allocation of bond proceeds and monitored capital spending of millions in bond funds earmarked for schools, roads, and infrastructure.
- Advocated for State's position in price negotiations for new bond issuances; post-sale, prepared bond sale fee matrixes and compiled cost of issuance data.
- Reviewed Requests for Proposal; selected candidates for Lead Underwriter, Co-Manager, Bond Counsel, and Trustee.

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### EXPERIENCE (CONT'D)

#### **MAJOR INVESTMENT GROUP ONE**, New York, NY (10/99-9/03)

##### *Investment Management Analyst* (7/00-9/03)

- Evaluated quantitative and qualitative data for institutional client portfolios with asset valuations in the hundreds of millions. Client portfolios included major energy companies, major Chicago area hospitals, and non-profit organizations.
- Worked closely with investment committees for major institutions to develop Investment Policy Statements.
- Managed complex \$450,000,000 fixed income portfolio for major Chicago hospital.
- Computed internal and time-weighted rates of return, and reconciled returns with investment manager data.
- Conducted investment manager searches and presented recommendations.
- Rebalanced asset allocations based on prevailing market conditions and firm's tactical models.

##### *Financial Consultant Associate* (10/99-6/00)

- Developed and implemented financial and investment plans.
- Managed client relationships: evaluated financial issues, made appropriate recommendations, and identified and managed risk.

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### EDUCATION

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**WESLEYAN UNIVERSITY**, Middletown, CT  
~ **B.S. Political Science**, August 1996 (GPA: 3.3) ~  
Area of Concentration: Southeast Asian Affairs

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### ADDITIONAL SKILLS & CERTIFICATIONS

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**Securities Licenses:** Series 7, 63, and 65 Licensed  
**Web-Based Performance Analytics:** Polaris, Zephyr, Vestek, Orion, Bloomberg, and Morningstar  
**Microsoft Office:** Word, Excel, Access, PowerPoint, & Outlook  
**Major Investment Group Two proprietary research and investment analysis tools.**

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### INTERNATIONAL VOLUNTEER WORK

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**Non-Profit Organizations:** Two tours of duty in Honduras, Central America participating in a humanitarian mission (October 2008 & October 2009).